# Europäisches Patentamt European Patent Office Office européen des brevets



EP 0 884 670 A1

# **EUROPEAN PATENT APPLICATION**

(43) Date of publication: 16.12.1998 Bulletin 1998/51 (51) Int Cl.6: G06F 1/00

(11)

- (21) Application number: 98303558.5
- (22) Date of filing: 06.05.1998
- (84) Designated Contracting States:
  AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
  MC NL PT SE
  Designated Extension States:
  AL LT LV MK RO SI
- (30) Priority: 14.06.1997 GB 9712459
- (71) Applicant: International Computers Ltd. London, EC2A 1DS (GB)
- (72) Inventor: van Blarkom, Gilles Willem 2925 CL Krimpen aan den IJssel (NL)
- (74) Representative: Guyatt, Derek Charles et al Intellectual Property Department International Computers Limited Cavendish Road Stevenage, Herts, SG1 2DY (GB)

## (54) Secure database

(57) A secure database system comprises a server having a database including at least one personal information table and at least one further table containing information relating to the persons whose details are stored in the personal information table. The keys of the tables in the database are unrelated, so that it is impossible to determine solely from information in the server which record in the further table corresponds to which

record in the personal information table. Thus, even if a hacker obtains access to the database, the hacker will not be able to relate information in the different tables. Each legitimate client uses an encryption process to convert a personal identifier value, which identifies the record relating to a particular person in the personal information table, into a pseudo-identifier value, which identifies a record relating to the same person in the further table.

Patient
Patient <pk>
Name
...



CarePeriod
CarePeriod <pk>
Patient <fk>
User PID
DateFrom

Registration
Registration <pk>
Patient PID
User PID
DateIn
...

Appointment
Appointment <pk>
Registration <fk>
User PID
Date
Time
...

Medication
Medication <pk>
Registration <fk>
User PID
Time
...

PatientNote
PatientNote <pk>
Registration <fk>
User PID
FreeText
...

FIG. 2

20

## Description

## Background to the Invention

This invention relates to secure databases.

Many countries have legislation for controlling the way in which personal data may be stored and used on computer systems. For example, the Dutch Personal Data Registration Act ("Wet Persoonsregistraties") demands (among other things) that the database must be secured against hackers who have succeeded in getting unauthorised access to the database despite all security applied to it. However, it has been found that conventional database systems do not satisfy this requirement. For example, in conventional hospital information systems, if a hacker gains access to a medical history record, the hacker can obtain the patient's key from this record and use this key to access any other records containing information about the same patient, such as the patient's name and address.

The object of the present invention is to provide a way of overcoming this problem.

#### Summary of the invention

 According to the invention a computer system comprises:

(a) a server having a database including at least one personal information table and at least one further table containing information relating to persons whose details are stored in the personal information table; and

(b) a plurality of clients, for accessing said data-

(c) said tables in said database having keys that are unrelated to each other, whereby it is impossible to determine solely from information in the server which record in said further table corresponds to which record in said personal information table; and (d) each client including an encryption process for converting a personal identifier value, which identifies a record relating to a particular person in said personal information table, into a pseudo-identifier value, which identifies a record relating to the same person in said further table.

It can be seen that, even if a hacker obtains access to the database, the hacker will not be able to relate information in the different tables. In a hospital information system for example, if a hacker obtains access to a medical history record, the hacker cannot relate this record to a particular patient.

## Brief Description of the Drawing

Figure 1 is a block diagram showing a computer system incorporating a secure database.

Figure 2 shows a skeleton model of the database.

## Description of an Embodiment of the Invention

One embodiment of the invention will now be described by way of example with reference to the accompanying drawings. This consists of a hospital records system which stores information about patients and their treatments, and which can only be accessed by authorised users, such as doctors, nurses and administrators. However, it will be appreciated that the invention can also be used in other applications where there is a need to protect personal data. For example, the invention could also be used in an insurance company database for storing personal data about customers and details about their claims.

## Overall view of the system

Referring to Figure 1, this shows a distributed computer system comprising a server 10 and a number of clients 11, interconnected by a network 12. The server is a central hospital computer, and the clients are personal computers (PCs), located on individual users' desks. The server 10 runs a database application 13, which may be any database system; for example, it may be an Oracle database. Each client 11 runs a client application 14, which enables an authorised user to communicate with the database, and to access data from it.

Referring to Figure 2, the database 13 holds a number of tables. Each of these tables has a primary key (indicated by "pk"), which uniquely identifies each record in the table. This primary key is a numeric figure, starting with 1 (one) for the first record written in the table and incremented by 1 (one) for each subsequent record inserted into that table. Some of the tables also include foreign keys (indicated by "fk"), which identify connections between the tables.

There are two groups of tables. The first group contains personal data about patients and users, and the data defining the periods of care. Only the data in this group is required in order to establish if a user is allowed to access the records for a particular patient. This group comprises the following tables:

Patient Personal, non-medical data about individual patients, such as the patient's

name, address and telephone number.

User Information about authorised users of the system. The information includes such things as name, login name, and doctor's specialism.

CarePeriod Information about which users are currently responsible for the care of individual patients.

2

5

The second group of tables holds medical data. It consists of a large number of tables, each of which holds one and only one group of facts. Some examples of tables in this second group are as follows:

Registration	Information about registration of indi-
	vidual patients. There is one row in
	this table for each patient currently un-

der active care.

Appointment Information about appointments that have been arranged for individual pa-

tients.

Medication Information about medication that has been prescribed for individual pa-

tients.

PatientNote Free-text medical notes on individual

patients.

Each of the tables in this second group has a primary key which is in no way related to the primary keys of the patient or user. Therefore, even if a hacker manages to obtain access to one of these tables, it is not possible for the hacker to relate the medical data to a particular patient or user.

To enable authorised users to relate the information in this second group of tables to the patients or users, the system uses so-called pseudo-identifiers (PIDs). The PIDs are stored in extra columns of the tables. The PID values are calculated from the patients' and users' primary keys, using a cryptographic algorithm. The cryptographic algorithm is available only on the clients; the algorithm is not recorded in the database, and so cannot be discovered by a hacker who gains access to the server. The algorithm uses a master encryption key, which is different for each hospital.

The PID values are calculated using a different encryption protocol for each PID in each table. This is achieved by assigning a unique identifier number nr\_PID to each PID in each table, and using this number as an input parameter for the cryptographic algorithm. In other words, the encryption algorithm for a particular PID uses the following three parameters:

- the primary key being encrypted,
- the hospital's master encryption key,
- the unique identifier number nr\_PID for the PID.

Thus, it is guaranteed that records relating to the same patient have different PID values in different tables, and records with the same PID in different tables do not relate to the same patient.

For example, in the database of Figure 2, unique identifier numbers nr\_PID may be assigned to the PIDs as follows:

Table	PID	nr PID
CarePeriod	User	34
Registration	Patient	47
Registration	User	23
Appointment	User	127
Medication	User	18
PatientNote	User	5

Free-text columns in the database, such as in the PatientNote table, present a particular problem, since a user is free to put any text in these columns, and may therefore include the patient's name. This would be of great assistance to a hacker. This problem is overcome by storing such free text in encrypted form, using an encryption algorithm resident on the client.

#### Login

20

This section describes the operation of the system when a user logs in.

The client application first prompts the user to enter his or her login name and password, and sends a login message to the database application. When the database application receives this message, it authenticates the user. Techniques for authentication of users are well known, and so will not be described in any further detail.

Assuming that the user has been correctly authenticated, the database application then searches the User table, to find the record that matches the user's login name. From this record, the database application obtains the user's primary key.

The client application then encrypts the user's primary key, using the appropriate nr\_PID (34 for example) as a parameter, to generate a user PID value for accessing the CarePeriod table. The PID is sent to the database application.

The database application then searches the CarePeriod table, to find all rows containing this PID. This identifies all the patients currently in the care of this particular user. The database application then uses the patient keys from these rows to access the corresponding rows of the Patient table. The patients' personal details are read from the Patient table, and are returned to the client application, where they are displayed to the user.

## Medication table

This section describes the operation of the system when a user wishes to obtain details of a particular patient's medication. It is assumed that the user has logged in to the system and has obtained the patient's primary key as described above.

The client application encrypts the patient's primary key, using the appropriate nr\_PID (47 for example) as a

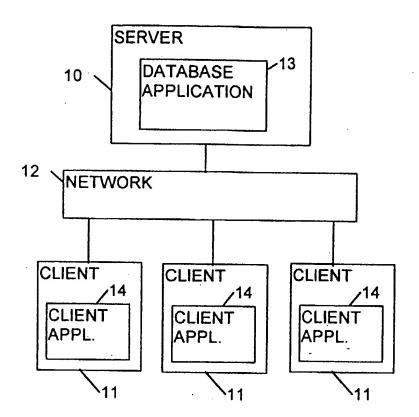


FIG. 1

Patient	
Patient	<pk></pk>
Name	
• • • • • • • • • • • • • • • • • • • •	

User	
User	<pk></pk>
Name	
ł	٠.

CarePeriod	
CarePeriod	<pk></pk>
Patient	<fk></fk>
User PID	
DateFrom	
{	

Registration	
Registration	<pk></pk>
Patient PID	
User PID	
DateIn	

Appointment
Appointment <pk>
Registration <fk>
User PID
Date
Time

Medication	
Medication	<pk></pk>
Registration	<fk></fk>
User PID	
Time	

PatientNote
PatientNote <pk>
Registration <fk>
User PID
FreeText
...

FIG. 2